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# WOODS & AITKEN

L • L • P

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DIRECT: (202) 944-9502  
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WWW.WOODSAITKEN.COM  
ADMITTED TO PRACTICE ONLY IN THE DISTRICT OF COLUMBIA

WASHINGTON OFFICE  
SUITE 310  
5151 WISCONSIN AVENUE, N.W.  
WASHINGTON, D.C. 20016-4124  
TELEPHONE 202-944-9500  
FAX 202-944-9501

PLEASE RESPOND TO WASHINGTON ADDRESS

June 25, 2015

**REDACTED – FOR PUBLIC INSPECTION**

**HAND DELIVERED**

Ms. Marlene H. Dortch  
Secretary  
Federal Communications Commission  
445 12<sup>th</sup> Street, SW  
Washington, DC 20554

ACCEPTED/FILED ORIGINAL

JUN 25 2015

DOCKET FILE COPY OF  
Federal Communications Commission  
Office of the Secretary

**Re: WC Docket No. 14-58  
WC Docket No. 11-42**

**Submission of Redacted Version of FCC Form 481 and Updated Five Year  
Plan for Armstrong Telephone Company – New York (Study Area Code  
150071)**

Dear Ms. Dortch:

Attached for filing are two copies of the redacted public version of (1) the FCC Form 481 of Armstrong Telephone Company – New York (the “Company”) which contains the Company’s updated five-year plan required by Section 54.313 of the Commission’s Rules.

The Company’s FCC Form 481 has been electronically filed with the Universal Service Administrative Company. Consistent with 47 C.F.R. § 0.459 of the Commission’s Rules, the Company, under separate letter, has submitted the confidential version of the Company’s FCC Form 481 which contains the Company’s updated five-year plan.

Respectfully submitted,



Thomas J. Moorman  
James A. Overcash  
Counsel to  
Armstrong Telephone Company – New York

Attachment

No. of Copies rec'd  
List ABCDE

0+2

**FCC Form 481 – Carrier Annual Reporting  
Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0086/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code 150071  
 <015> Study Area Name ARMSTRONG TEL CO-NY  
 <020> Program Year 2016  
 <030> Contact Name: Person USAC should contact with questions about this data James W Ranko  
 <035> Contact Telephone Number: 7242830925 ext. 50342  
 Number of the person identified in data line <030>  
 <039> Contact Email Address: jranko@agoc.com  
 Email of the person identified in data line <030>

ACCEPTED/FILED

JUN 25 2015

 Federal Communications Commission  
 Office of the Secretary

**ANNUAL REPORTING FOR ALL CARRIERS**

 54,313 Completion Required  
 54,422 Completion Required

(check box when complete)

<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> ← check box if no outages to report		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<300> Unfulfilled Service Requests (voice) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<320> Unfulfilled Service Requests (broadband) 0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<440> Fixed 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<450> Mobile 0.0		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 150071ny510.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 150071ny610.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)?	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability Certification Yes		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1010> 150071ny1010.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1100> Certify whether terrestrial backhaul options exist (Yes or No) <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1110>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<2005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet</b>			
<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**(100) Service Quality Improvement Reporting  
Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code	150071
<015> Study Area Name	ARMSTRONG TEL CO-NY
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	James W Ranko
<035> Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<039> Contact Email Address - Email Address of person identified in data line <030>	jranko@agoc.com

<110> Has your company received its ETC certification from the FCC?	(yes / no)	<input type="radio"/> <input checked="" type="radio"/>
If your answer to Line <110> is yes, do you have an existing § 54.202(a) "5 year plan" filed with the FCC?		
<111>	(yes / no)	<input type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

150071ny112.pdf

Name of Attached Document

Please select the appropriate responses below (Yes, No, Not Applicable) to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

<113> Maps detailing progress towards meeting plan targets	Yes
<114> Report how much universal service (USF) support was received	Yes
<115> How much (USF) was used to improve service quality and how support was used to improve service quality	Yes
<116> How much (USF) was used to improve service coverage and how support was used to improve service coverage	Yes
<117> How much (USF) was used to improve service capacity and how support was used to improve service capacity	Yes
<118> Provide an explanation of network improvement targets not met in the prior calendar year.	Not Applicable

Yes
Yes
Yes
Yes
Yes
Not Applicable



[illegible]



[illegible]

(800) Operating Companies  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	150071
<015>	Study Area Name	ARMATONG TRL CO-NY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	James W Ranko
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<035>	Contact Email Address - Email Address of person identified in data line <030>	jranko@agoo.com

<810>	Reporting Carrier	Armstrong Tel Co-NY
<811>	Holding Company	Armstrong Holdings, Inc.
<812>	Operating Company	Armstrong Tel Co-NY

[illegible]

(900) Tribal Lands Reporting  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	150071
<015>	Study Area Name	ARMSTRONG TEL CO-NY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	James W Ranko
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<039>	Contact Email Address - Email Address of person identified in data line <030>	jranko@aeog.com

<910> Tribal Land(s) on which ETC Serves

**<920> Tribal Government Engagement Obligation**

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) Includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions.
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

[illegible]



**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010>	Study Area Code	150071
<015>	Study Area Name	ARMSTRONG TEL CO-NY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	James W Ranko
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242630925 ext. 50342
<039>	Contact Email Address - Email Address of person identified in data line <030>	jranko@sagoc.com

<1120> Please confirm whether terrestrial backhaul options exist within the supported area pursuant to § 54.313(g) (Yes, No).

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

**(1200) Terms and Condition for Lifeline Customers**  
**Lifeline**  
**Data Collection Form**

FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010>	Study Area Code	150071
<015>	Study Area Name	ARMSTRONG TEL CO-NY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Jessie W Ranko
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<039>	Contact Email Address - Email Address of person identified in data line <030>	jranko@agoc.com

150071ny1210.pdf

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

<1220> Link to Public Website

HTTP [www.agoc.com](http://www.agoc.com)

\*Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers, ☒
- <1222> Details on the number of minutes provided as part of the plan, ☒
- <1223> Additional charges for toll calls, and rates for each such plan. ☒

## (2000) Price Cap Carrier Additional Documentation

Data Collection Form

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	130071
<015>	Study Area Name	ADKSTADING TEL CO-WY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	JAMES W. JONES
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242830920 ext. 30342
<039>	Contact Email Address - Email Address of person identified in data line <030>	j.jones@adkco.com

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b), (c), (d), (e). The information reported on this form and in the documents attached below is accurate.

## Incremental Connect America Phase I reporting

- <2010> 2nd Year Certification (47 CFR § 54.313(b)(1))  
 <2011a> 3rd Year Certification (47 CFR § 54.313(b)(1)(i))  
 <2011b> Attachment (47 CFR § 54.313(b)(1)(ii))


Name of Attached Document(s) Listing Required Information

## Price Cap Carrier Receiving Frozen Support Certification (47 CFR § 54.312(a))

- <2012> 2013 Frozen Support Calculation (47 CFR § 54.313(c)(1))  
 <2013> 2014 Frozen Support Calculation (47 CFR § 54.313(c)(2))  
 <2014> 2015 Frozen Support Calculation (47 CFR § 54.313(c)(3))  
 <2015> 2016 and future Frozen Support Calculation (47 CFR § 54.313(c)(4))


## Price Cap Carrier Connect America ICC Support (47 CFR § 54.313(d))

- <2016> Certification Support Used to Build Broadband

--

## Connect America Phase II Reporting (47 CFR § 54.313(e))

- <2017> 3rd year Broadband Service Certification  
 <2018> 5th year Broadband Service Certification  
 <2019> Interim Progress Certification


- <2020> Please check the box to confirm that the attached document(s), on line 2021, contains the required information pursuant to § 54.313 (e)(3)(iii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

- <2021> Interim Progress Community Anchor Institutions


Name of Attached Document(s) Listing Required Information

**(2000) Rate Of Return Carrier Additional Documentation**  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0085/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 150071  
<015> Study Area Name NMS/STRGGS TEL CO-NY  
<020> Program Year 2016  
<030> Contact Name - Person USAC should contact regarding this data James T. Rankin  
<035> Contact Telephone Number - Number of person identified in data line <030> 7242830223 ext. 50342  
<038> Contact Email Address - Email Address of person identified in data line <030> jrankin@scgs.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.313(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

- (3010) Progress Report on 5 Year Plan  
Milestone Certification (47 CFR § 54.313(f)(1)(i))

150071ny3010.pdf

Name of Attached Document Listing Required Information

- (3011) Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(ii), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. ☐

- (3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Name of Attached Document Listing Required Information

- (3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))  
(3014) If yes, does your company file the RUS annual report

(Yes/No) ☒ Yes ☒ No  
(Yes/No) ☒ Yes ☒ No

Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

- (3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) ☐  
(3016) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

- (3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

Name of Attached Document Listing Required Information

- (3018) If the response is no on line 3014, is your company audited?

(Yes/No) ☒ Yes ☒ No

If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3019 pursuant to § 54.313(f)(2), contains:

- (3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications ☒

- (3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☒

- (3021) Management letter and audit opinion issued by the independent certified public accountant that performed the company's financial audit ☒

If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3022 pursuant to § 54.313(f)(2), contains:

- (3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, ☐

- (3023) Underlying information subjected to a review by an independent certified public accountant ☐

- (3024) Underlying information subjected to an officer certification. ☐

- (3025) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

150071ny3026.pdf

- (3026) Attach the worksheet listing required information

Name of Attached Document Listing Required Information



(3000) Rate Of Return Carrier Additional Documentation (Continued)

FCC Form 481

Data Collection Form

OMB Control No. 3060-0885/OMB Control No. 3060-0819

July 2011

<01> Study Area Code	150671
<01> Study Area Name	ADAMS/BRIG TEL CO-NY
<02> Program Year	2016
<03> Contact Name - Person USAC should contact regarding this data	James W. Ranko
<03> Contact Telephone Number - Number of person identified in data line <03>	7242810523 ext. 50242
<03> Contact Email Address - Email Address of person identified in data line <03>	jranko@adco.com

## Financial Data Summary

(3027) Revenue

3946284

(3028) Operating Expenses

4549548

(3029) Net Income

-603264

(3030) Telephone Plant In Service(TPIS)

2210700

(3031) Total Assets

3159452

(3032) Total Debt

850000

(3033) Total Equity

1326336

(3034) Dividends

0

Name of Attached Document Listing Required Information

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	150071
<015> Study Area Name	ARMSTRONG TEL CO-NY
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	James W Ranko
<035> Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext.50342
<039> Contact Email Address - Email Address of person identified in data line <030>	jranko@sagoc.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier: ARMSTRONG TEL CO-NY	
Signature of Authorized Officer: CERTIFIED ONLINE	Date: 06/24/2015
Printed name of Authorized Officer: James Ranko	
Title or position of Authorized Officer: Director of Regulatory Compliance	
Telephone number of Authorized Officer: 7242833692 ext.50342	
Study Area Code of Reporting Carrier: 150071	Filing Due Date for this form: 07/01/2015
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(h), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**Certification - Agent / Carrier  
Data Collection Form**

 FCC Form 481  
OMB Control No. 3060-0985/OMB Control No. 3060-0819  
July 2013

<01> Study Area Code	150071
<015> Study Area Name	XRAYSTONE TEL. CO.-NY
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	James W Ranko
<035> Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<039> Contact Email Address - Email Address of person identified in data line <030>	jranko@agcc.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:**

<b>Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier</b>	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	Filing Due Date for this form: _____ Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.
Name of Authorized Agent:	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date: _____
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	

**TO BE COMPLETED BY THE AUTHORIZED AGENT:**

<b>Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier</b>	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided this data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	
Name of Authorized Agent or Employee of Agent:	
Signature of Authorized Agent or Employee of Agent:	Date: _____
Printed name of Authorized Agent or Employee of Agent:	
Title or position of Authorized Agent or Employee of Agent:	
Telephone number of Authorized Agent or Employee of Agent:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

## Attachments



<701> Residential Local Service Charge Effective Date  
<702> Single State-wide Residential Local Service Charge

2/2/2019

(703)

[illegible]

(710) Broadband Price Offerings  
Data Collection Form

PCC Form 481  
OMB Control No. 3060-0886/OMB Control No. 3060-0819  
July 2013

PCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	150071
<015>	Study Area Name	ARMSTRONG TRL CO-WY
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	James W Ranko
<035>	Contact Telephone Number - Number of person identified in data line <030>	7242830925 ext. 50342
<039>	Contact Email Address - Email Address of person identified in data line <030>	jranko@agood.com

711

[illegible]

(800) Operating Companies Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0619 July 2013
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OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

[illegible]



# ARMSTRONG® TELEPHONE COMPANY - NEW YORK

ONE ARMSTRONG PLACE • BUTLER, PA 16001 • 724-283-0925 • Fax 283-9655

## §54.313(a)(5) – COMPLIANCE WITH SERVICE QUALITY STANDARDS AND CONSUMER PROTECTION

Pursuant to 47 C.F.R. § 54.313(a)(5) and or 47 C.F.R. § 54.422(b)(3) Armstrong Telephone Company – New York ("the Company") is in compliance with appropriate FCC Service Quality Standards and Consumer Protection Rules. The Company provides CPNI training to all of its new employees and in addition trains all of its existing employees on an annual basis. The Company also conducts subscriber outreach regarding CPNI by periodically placing CPNI explanation messages into subscriber's bills and also has signage in its business office regarding CPNI rules and regulations. In addition the Company trains staff on Red Flag issues on an annual basis. All company employees are required to sign and acknowledge that they have completed CPNI and Red Flag training and understand obligations to adherence of applicable rules.

Name of Officer (Print) James D. Mitchell

Title: Vice President - Regulatory Policy and  
Interconnection

Signature *James D. Mitchell*

Date: 5/14/15





# **ARMSTRONG<sup>®</sup>**

## **TELEPHONE COMPANY - NEW YORK**

ONE ARMSTRONG PLACE • BUTLER, PA 16001 • 724-283-0925 • Fax 283-9655

### **§54.313(a)(6) – ABILITY TO FUNCTION IN EMERGENCY SITUATIONS**

I am authorized to provide this certification on behalf of Armstrong Telephone Company- New York (the "Company"). I hereby certify that, to the best of my knowledge, the Company is capable of functioning in emergency situations. The Company has a reasonable amount of back-up power to ensure functionality of voice services without a commercial power source. The company's specific back-up power sources are, lead calcium batteries, gel cell batteries, fixed AC and DC natural/LP gas generators, fixed AC and DC gasoline/diesel generators and portable gasoline generators. The Company is able to reroute voice traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations. If there is a failure of the Company's main route, voice traffic is automatically rerouted to the back-up route.

Name of Officer (Print) James D. Mitchell

Title: Vice President – Regulatory Policy and Interconnection

Signature 

Date: 5/14/15

Response to Line 1010  
Armstrong Telephone Company – New York  
Study Area 150071

### Voice Services Comparability Report

Pursuant to 47 C.F.R. § 54.313 (a) (10 ) Armstrong Telephone Company – New York (the “Company”) is in compliance with the requirement that voice services is no more than two standard deviations above the national average urban rate for voice service of \$47.48 as specified in Public Notice DA 15-470 issued on April 16, 2015. The Company’s current total local end-user rate<sup>1</sup> of \$23.00 (which includes a local fee of \$23.00, mandated state fees of 0.00 and mandatory extended area service charges of \$0.00) is not above the standard deviation as specified in the USF/ICC Transformation Order.<sup>2</sup>

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<sup>1</sup> Local End User Rate as defined in USF/ICC Transformation Order 26 FCC Rcd at 17751, Para. 238

<sup>2</sup> USF/ICC Transformation Order, 26 FCC Rcd at 17694, Para. 84 (footnote included) “The standard deviation is a measure of dispersion. The sample standard deviation is the square root of the sample variance. The sample variance is calculated as the sum of the squared deviations of the individual observations in the sample of data from the sample average divided by the total number of observations in the sample minus one. In a normal distribution, about 68 percent of the observations lie within one standard deviation above and below the average and about 95 percent of the observations lie within two standard deviations above and below the average.”



Armstrong Telephone Company (Armstrong) submits its five year progress report for Service Quality Improvement pursuant to C.F.R. 54.313(a)(1) detailing its progress towards meeting its targets for improvement and upgrade to COMPANY network throughout its service area. The receipt of USF support, combined with other funding sources will allow COMPANY to continue to meet its broadband obligations within its service area, complete service request within a reasonable amount of time, provide reliable, state-of-the-art, high-quality voice and broadband service, to its [REDACTED] rural customers in four exchanges. The projects listed within this plan will be used to improve or upgrade the network over the next five years. In addition, this progress report provides sufficient data that details capital and operational expenditures, the amount of USF received allocated between capital and operational expenditures. All USF funds received and detailed within this progress report were used in accordance with federal rules and regulations towards improving service quality, service coverage, and service capacity.

[illegible][illegible]





**ARMSTRONG**

**Telephone Company - New York**

**Progress Update— 47 C.F.R. §54.313(a)(1)**

Armstrong Telephone Company (Armstrong) submits its five year progress report for Service Quality Improvement pursuant to C.F.R. 54.313(a)(1) detailing its progress towards meeting its targets for improvement and upgrade to COMPANY network throughout its service area. The receipt of USF support, combined with other funding sources will allow COMPANY to continue to meet its broadband obligations within its service area, complete service request within a reasonable amount of time, provide reliable, state-of-the-art, high-quality voice and broadband service, to its rural customers in four exchanges. The projects listed within this plan will be used to improve or upgrade the network over the next five years. In addition, this progress report provides sufficient data that details capital and operational expenditures, the amount of USF received allocated between capital and operational expenditures. All USF funds received and detailed within this progress report were used in accordance with federal rules and regulations towards improving service quality, service coverage, and service capacity.

The Company project that the capital expenditures, by Part 32 accounts for 2015 through 2019 related the above identified projects will be:

	CY 2015*	CY 2016*	CY 2017*	CY 2018*	CY 2019*
2110 Land & General Support					
2210 Central Office Switching					
2230 Central Office Transmission					
2410 Cable & Wire Facilities					
Total Capital Expenditures					

The Company project that the operating expenditures, by Part 32 accounts for 2015 through 2019 related the above identified projects will be:

	CY 2015*	CY 2016*	CY 2017*	CY 2018*	CY 2019*
Plant Specific					
Plant Nonspecific					
Depreciation					
Customer Operations					
Corporate Operations					
Total Operating Expenses					

\*Budget projection amount subject to change based upon the needs and conditions of the Company

USF YTD (1/15 - 6/15)	Amount
ICLS	
ICC	
Total YTD	
USF BreakOut	
CapEx USF	
OpeEx USF	
Total	



**REDACTED - FOR PUBLIC INSPECTION**

**MAP**

P.S.C. No. 2 - Telephone

New York State Telecommunications Association, Inc.

Section 9  
Second Revised Page 3  
Superseding First Revised Page 3

SPECIAL EQUIPMENT, SERVICES, AND PROGRAMS

A. LIFELINE TELEPHONE SERVICE

1. Lifeline Telephone Service Options

a. Description

1. Lifeline Discounted Service

This service provides a flat rate federal discount of \$9.25, consisting of a \$6.50 reduction of the Federal Subscriber Line Charge and a \$2.75 reduction in the monthly rate for local exchange telephone service for residential customers. Qualified customers may choose any type or grade of local telephone service, including bundled services that are normally offered by the Company.

+

(C)

+

1 A. Additional Lifeline Discount

This service provides the discount as outlined in A.1.a.1 above and may provide an additional discount equal to the serving company's increase in residential basic local exchange service, as authorized by the NYS Department of Public Service in Case No. 07-C-0349, released March 4, 2008, whereby the NY Commission authorized certain companies to increase basic local service rates up to \$2.00 per year for 2 years. The discount can be found on Addendum 1 of the individual Company tariff for those companies offering the Additional Lifeline Discount.

Date Issued: May 30, 2012

Date Effective: July 1, 2012

Issued by: Caroline Hill, Director Tariffs

NYSTA, Inc., 20 Corporate Woods Boulevard, Albany, NY 12210

P.S.C. No. 2 - Telephone

New York State Telecommunications Association, Inc.

Section 9

First Revised Page 4.1

Superseding Original Page 4.1

SPECIAL EQUIPMENT, SERVICES, AND PROGRAMS

A. LIFELINE TELEPHONE SERVICE (cont'd)

2. Regulations

- a. These services are restricted to low income residential customers. To qualify for Lifeline service a customer must certify and provide documentation as income eligible. For a consumer to be eligible under the income requirements, the consumer's household income as defined in § 54.400(f) of the FCC Rules must be at or below 135% of the Federal Poverty Guidelines for a household of that size or a recipient of benefits from any one of the following Entitlement Programs: (C)  
(C)
1. Medicaid;
  2. Supplemental Nutrition Assistance Program (SNAP) F/K/A Food stamps;
  3. Supplemental Security Income;
  4. Federal Public Housing Assistance (Section 8);
  5. Low-Income Home Energy Assistance Program (LIHEAP);
  6. National School Lunch Program's free lunch program;
  7. Temporary Assistance for Needy Families/SafetyNet; (C)
  8. Veterans Disability Pension
  9. Veterans Surviving Spouse Pension

*Issued in Compliance with FCC Order in Dockets: WC Docket No. 11-42, WC Docket No. 03-109, CC  
Docket No. 96-45, WC Docket No. 12-23*

Date Issued: May 30, 2012

Date Effective: July 1, 2012

Issued by: Robert R. Puckett, President

NYSTA, Inc., 20 Corporate Woods Boulevard, Albany 12211

P.S.C. No. 2 - Telephone

New York State Telecommunications Association, Inc.

Section 9

First Revised Page 4

Superseding Original Page 4

SPECIAL EQUIPMENT, SERVICES, AND PROGRAMS

A. LIFELINE TELEPHONE SERVICE (cont'd)

1. Lifeline Telephone Service Options (cont'd)

b. General

Qualified customers may choose to apply the federal Lifeline credit to any of the company's local service offerings, including any local bundled service offering, basic local service, or message rate service. Message rate Lifeline service is available only where central office facilities permit.

For connection of new service, service connection charges apply unless the customer qualifies for connection assistance under the Tribal Lands Link Up program.

+

Service connection charges do not apply to change existing service from:

(C)

1. Message or flat rate services to Lifeline service.
2. Lifeline service to non-Lifeline services.

+

*Issued in Compliance with FCC Order in Dockets: WC Docket No. 11-42, WC Docket No. 03-109, CC Docket No. 96-45, WC Docket No. 12-23*

Date Issued: March 29, 2012

Date Effective: April 29, 2012

Issued by: Robert R. Puckett, President

NYSTA, Inc., 20 Corporate Woods Boulevard, Albany, NY 12211



P.S.C. No. 2 - Telephone

New York State Telecommunications Association, Inc.

Section 9  
First Revised Page 5  
Superseding Original Page 5

SPECIAL EQUIPMENT, SERVICES, AND PROGRAMS

A. LIFELINE TELEPHONE SERVICE (cont'd)

2. Regulations (cont'd)

- b. The Lifeline discount is effective upon receipt of a completed form of eligibility. If the form is not returned, no further action is taken by the Company to establish eligibility. +
- c. The Company, in coordination with appropriate agencies and the Lifeline Customer, will require Lifeline customers to be re-certified, on an annual basis. Lifeline customers will need to certify that they continue to be eligible to receive these Lifeline benefits and that they are not receiving benefits from another company. If a customer is identified as being ineligible, the customer will be notified that unless the information is shown to be in error, the Lifeline discount will be discontinued. The customer will be billed for discounts received for the time that they were proven to be ineligible for the service. (C)

3. Locality Charge Waiver

Customers receiving Lifeline Telephone Service will have applicable locality charges waived each month while they are receiving the Lifeline Assistance. +

4. Voluntary Toll Blocking (Restriction)

Customers receiving Lifeline service can voluntarily request and receive toll blocking (call restriction), third number billing/collect call restriction without a monthly charge. There will be no record order charge to add these types of restrictions (blocking).

*Issued in Compliance with FCC Order in Dockets: WC Docket No. 11-42, WC Docket No. 03-109, CC Docket No. 96-45, WC Docket No. 12-23*

Date Issued: March 29, 2012

Date Effective: April 29, 2012

Issued by: Robert R. Puckett, President

NYSTA, Inc., 20 Corporate Woods Boulevard, Albany, NY 12211

Response to Line3010  
Armstrong Telephone Company – New York  
Study Area 150071

#### Milestone Certification

Pursuant to 47 C.F.R. § 54.202(a) Armstrong Telephone Company – New York (the “Company”) provides this certification that it is taking reasonable steps to provide upon reasonable request broadband speeds of at least 4 Mbps downstream/1 Mbps upstream, with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to offerings in urban areas as determined in an annual survey as specified in Public Notice DA 15-470, and that requests for such service are met within a reasonable amount of time. Details for how the Company is meeting its obligations for meeting its goals and required obligations are specified within the FCC Form 481 annual filing.

## REPORT OF INDEPENDENT AUDITORS

Board of Directors  
Armstrong Telephone Company – New York, Inc.

### Report on the Financial Statements

We have audited the accompanying financial statements of Armstrong Telephone Company – New York, Inc. (Company), which comprise the balance sheets as of September 30, 2014 and 2013, and the related statements of operations, stockholder's equity, and cash flows for the years then ended, and the related notes to the financial statements.

### *Management's Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### *Auditor's Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

**REPORT OF INDEPENDENT AUDITORS**  
**(continued)**

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

***Opinion***

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Armstrong Telephone Company – New York, Inc. as of September 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

***Emphasis of Matter***

As described in Note 7 to the financial statements, the Company corrected its accounting for deferred taxes and has restated its balance sheet as of October 1, 2013, to conform with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

*MOSS ADAMS LLP*

Spokane, Washington  
January 19, 2015



**ARMSTRONG TELEPHONE COMPANY - NEW YORK, INC.**  
**BALANCE SHEETS**

**ASSETS**

	September 30,	
	2014	2013
<b>CURRENT ASSETS</b>		
Cash and cash equivalents	\$ 267,808	\$ 117,241
Subscriber accounts receivable, net of allowance of \$8,252 in 2014 and \$9,648 in 2013	209,191	215,609
Accounts receivable, affiliates	6,273	4,719
Income tax receivable, parent company	8,937	-
Other accounts receivable, net of allowance of \$606 in 2014 and \$274 in 2013	257,570	259,192
Material and supplies	69,149	39,337
Other current assets	129,824	163,975
<b>Total current assets</b>	<b>948,752</b>	<b>800,073</b>
<b>PROPERTY, PLANT, AND EQUIPMENT</b>		
Telecommunications plant in service	11,339,563	11,306,407
Nonregulated plant in service	80,520	80,520
Telecommunications plant under construction	11,510	2,143
	11,431,593	11,389,070
Less accumulated depreciation	9,220,893	8,780,234
	2,210,700	2,608,836
	<b>\$ 3,159,452</b>	<b>\$ 3,408,909</b>

**ARMSTRONG TELEPHONE COMPANY - NEW YORK, INC.**  
**BALANCE SHEETS**

**LIABILITIES AND STOCKHOLDER'S EQUITY**

	September 30,	
	2014	2013
		(As restated)
<b>CURRENT LIABILITIES</b>		
Accounts payable	\$ 224,069	\$ 193,740
Advance billing	129,688	127,284
Related party note payable	850,000	600,000
Income tax payable, parent company	-	35,170
Other accrued liabilities	160,228	161,982
	<u>1,363,985</u>	<u>1,118,176</u>
<b>TOTAL current liabilities</b>		
	<u>1,363,985</u>	<u>1,118,176</u>
<b>OTHER LIABILITIES AND DEFERRED CREDITS</b>		
Deferred income taxes	401,766	509,422
Other deferred credits	67,365	66,006
	<u>469,131</u>	<u>575,428</u>
<b>STOCKHOLDER'S EQUITY</b>		
Common stock (\$50 par value; 10,000 shares authorized, 4,860 shares issued and outstanding)	243,000	243,000
Additional paid-in capital	21,000	21,000
Retained earnings	1,062,336	1,451,305
	<u>1,326,336</u>	<u>1,715,305</u>
	<u>\$ 3,159,452</u>	<u>\$ 3,408,909</u>

**ARMSTRONG TELEPHONE COMPANY - NEW YORK, INC.**  
**STATEMENTS OF OPERATIONS**

	Years Ended September 30,	
	2014	2013
Operating revenues		
Wireline		
Customer	\$ 1,061,124	\$ 1,001,303
Intercarrier		
Interstate	1,089,646	1,175,718
Intrastate	332,418	461,120
Universal service support - federal	904,273	1,006,246
	<u>3,387,461</u>	<u>3,644,387</u>
Internet	<u>505,328</u>	<u>565,077</u>
Miscellaneous		
Equipment	22,178	27,564
Carrier billing and collection	14,514	14,826
Other	6,207	4,500
Directory	25,371	26,022
Uncollectible	<u>(14,775)</u>	<u>(16,123)</u>
	<u>53,495</u>	<u>56,789</u>
Total operating revenues	<u>3,946,284</u>	<u>4,266,253</u>
Operating expenses		
Plant specific operations	1,233,578	1,073,776
Plant nonspecific operations	520,138	494,188
Depreciation	477,424	534,165
Customer operations	419,420	432,617
Corporate operations	1,235,181	1,117,681
Other operating taxes	255,050	267,819
Nonregulated	<u>408,757</u>	<u>432,133</u>
	<u>4,549,548</u>	<u>4,352,379</u>
Net operating loss	<u>(603,264)</u>	<u>(86,126)</u>
Nonoperating income (expense)		
Interest and dividend income	-	537
Interest expense	-	(71,332)
Other nonoperating income (expense)	<u>(448)</u>	<u>749</u>
	<u>(448)</u>	<u>(70,046)</u>
Loss before income taxes	<u>(603,712)</u>	<u>(156,172)</u>
Income tax benefit	<u>214,743</u>	<u>51,020</u>
Net loss	<u>\$ (388,969)</u>	<u>\$ (105,152)</u>

**ARMSTRONG TELEPHONE COMPANY – NEW YORK, INC.**  
**STATEMENTS OF CASH FLOWS**

	Years Ended September 30,	
	2014	2013
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Net loss	\$ (388,969)	\$ (105,152)
Adjustments to reconcile net loss to net cash from operating activities		
Depreciation	477,424	534,165
Deferred income taxes	(107,656)	(103,290)
Change in assets and liabilities		
Receivables	(2,451)	163,069
Material and supplies	(29,812)	2,478
Other assets	34,151	(9,576)
Accounts and taxes payable	(4,841)	32,479
Other deferred credits	1,359	1,451
Advance billing	2,404	(7,069)
Accrued liabilities	(1,754)	12,671
Net cash from operating activities	(20,145)	521,226
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Net acquisition of property, plant, and equipment	(79,288)	(90,770)
Proceeds from sale of property, plant, and equipment	-	7,952
Net cash from investing activities	(79,288)	(82,818)
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Payments to settle debt	-	(2,679,861)
Proceeds from intercompany note payable	250,000	650,000
Net cash from financing activities	250,000	(2,029,861)
<b>NET CHANGE IN CASH AND CASH EQUIVALENTS</b>	150,567	(1,591,453)
<b>CASH AND CASH EQUIVALENTS at beginning of year</b>	117,241	1,708,694
<b>CASH AND CASH EQUIVALENTS at end of year</b>	<u>\$ 267,808</u>	<u>\$ 117,241</u>
<b>SUPPLEMENTAL DISCLOSURE OF CASH FLOWS INFORMATION</b>		
Cash paid during the year for		
Interest	\$ 736	\$ 73,493
Income taxes	\$ -	\$ 35,300